FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

| Г   | T               | RANSMITTAL LETTER TO THE UNITED STATES  | ATTORNEY'S DOCKET NUMBER  |  |  |  |  |  |  |  |  |
|-----|-----------------|---|---|--|--|--|--|--|--|--|--|
| 1   | ·               | DESIGNATED/ELECTED OFFICE (DO/EO/US)  | 016906-0476   |  |  |  |  |  |  |  |  |
|     |                 | CONCERNING A FILING UNDER 35 U.S.C. 371   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br>10/573,011                            |  |  |  |  |  |  |  |  |
| IN  |                 | TIONAL APPLICATION NO. INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED   |  |  |  |  |  |  |  |  |
| -   |                 | EP2004/010265 09/14/2004 09/14/2004   | - 09/22/2003  |  |  |  |  |  |  |  |  |
| -   | HEAT            | EXCHANGER MODULE FOR A MOTOR VEHICLE  |   |  |  |  |  |  |  |  |  |
| AΡ  | PLICA           | NT(S) FOR DO/EO/US HASSDENTEUFEL, Volker KURZ; Chi-Duc NGUYEN; Dieter SCHWEIDLE   | R and Michael SPIETH  |  |  |  |  |  |  |  |  |
| Αp  | plican          | herewith submits to the United States Designated/Elected Office (DO/EO/U  | S) the following items and other information:   |  |  |  |  |  |  |  |  |
| 1.  |                 | This is a FIRST submission of items concerning a filing under 35 U.S.C.   | . 371.  |  |  |  |  |  |  |  |  |
| 2.  | $\boxtimes$     | This is a SECOND or SUBSEQUENT submission of items concerning a   | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. |  |  |  |  |  |  |  |  |
| 3.  |                 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) Indicated below. |   |  |  |  |  |  |  |  |  |
| 4.  |                 | The US has been elected (Article 31).   |   |  |  |  |  |  |  |  |  |
| 5.  |                 | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |  |  |  |
|     |                 | is attached hereto (required only if not communicated by the Inte   | rnational Bureau).  |  |  |  |  |  |  |  |  |
|     | •               | has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |  |
|     |                 | is not required, as the application was filed in the United States I  | Receiving Office (RO/US)  |  |  |  |  |  |  |  |  |
| 6.  |                 | An English language translation of the International Application as filed   | (35 U.S.C. 371(c)(2)).  |  |  |  |  |  |  |  |  |
|     |                 | is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |  |
| 7.  |                 | Amendments to the claims of the International Application under PCT A   | utide 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |  |  |  |  |
| l   |                 | are attached hereto (required only if not transmitted by the Intern   | national Bureau).   |  |  |  |  |  |  |  |  |
|     |                 | have been communicated by the International Bureau.  have not been made; however, the time limit for making such an   | pendments has NOT expired.  |  |  |  |  |  |  |  |  |
|     |                 | have not been made and will not be made.  | onancino nacroca compilea.  |  |  |  |  |  |  |  |  |
| 8.  |                 | An English language translation of the amendments to the claims unde  | r PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |  |
| 9.  | $\boxtimes$     | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |  |  |  |
| 10  | ). <b></b>      | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |   |  |  |  |  |  |  |  |  |
|     |                 |   | ·   |  |  |  |  |  |  |  |  |
| ite | ms 11           | to 20 below concern other document(s) or information included:  |   |  |  |  |  |  |  |  |  |
| 11  | l. 🔲            | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |  |  |  |  |
| 12  | 2. 🗆            | An assignment document for recording. A separate cover sheet in corr  | pliance with 37 CFR 3.28 and 3.31 is included   |  |  |  |  |  |  |  |  |
|     | √5 <b>6</b> 0€° | A preliminary amendment.  | •   |  |  |  |  |  |  |  |  |
| FE  | 21617           | A så88tiftRellapecification.  |   |  |  |  |  |  |  |  |  |
| 16  | 3. 🗆            | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |  |  |
| 17  | 7.              | A computer-readable form of the sequence listing in accordance with PC  | CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825   |  |  |  |  |  |  |  |  |
| 18  | 3. 🗆            | A second copy of the published international application under 35 U.S.C   | c. 154(d)(4).   |  |  |  |  |  |  |  |  |
| 18  | . □             | A second copy of the English language translation of the international ap   |   |  |  |  |  |  |  |  |  |
| 20  | ). <b>X</b>     | Other items or information: Power of Attorney, Executed Declaration, S  | Statement under 37 CFR 3.73(b),   |  |  |  |  |  |  |  |  |
|     | <b></b>         | and copy of Assignment  |   |  |  |  |  |  |  |  |  |
| E   |                 | CO-1390 (Modified)  |   |  |  |  |  |  |  |  |  |

| U.S. APPLICATION N<br>10/573.011                    |             | , see 37 CFR.  | 1.5) (A      |  |                 |                           | IEY'S DOCKET NUMBER<br>906-0476 |                          |                   |  |  |  |  |
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| earliest claim                                      | ed priority | date (37 C     | FR 1.492     | ?(e))  |                 |                           |                                 | 1                        |                   |  |  |  |  |
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| ☐ Appli   | cant clain  | ns small en    | tity status  | s. See 37 CFR 1.27. Fees                       | above ar        | e +                       | \$                              | 0.00                     |                   |  |  |  |  |
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| Processing it                                       | ee or 130.  | od nakodki s   | into (37 (   | FR 1.492(i)).                                  |                 |                           |                                 |                          |                   |  |  |  |  |
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| accompanie  | d by an ap  | opropriate c   | cover she    | et (37 CFR 3.28, 3.31). 40                     | per p           | NCLOSED =                 | \$                              | 0.00                     |                   |  |  |  |  |
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| _   | 2038.       |                |              | ne limit under 37 CFR                          | 4 406           | had not how               | en met                          | a petition to            | revive (37 CFR    |  |  |  |  |
| NOTE: W   | here ar     | appropr        | nate tim     | le limit under 37 CFR<br>ranted to restore the | ntemat          | ional Applic              | ation to                        | pending sta              | tus.              |  |  |  |  |
| 1.137(a) o  | a (D)) W(   | ist de tile    | .u anu 9     |  |                 | M                         | 11                              | 1                        | /                 |  |  |  |  |
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| I CEND ALL  |             |                | -NOC T       | O.   |                 | <i>"</i> – ,              |                                 |                          |                   |  |  |  |  |
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|   | Foley &     | Lardner I      | LLP          |  |                 | Richard                   |                                 | iwaab                    |                   |  |  |  |  |
|   | Foley &     |                | LLP          |  |                 | Richard<br>NAME           |                                 | iwaab                    |                   |  |  |  |  |
|   | Foley &     | Lardner I      | LLP          |  |                 | Richard<br>NAME<br>25,479 | d L. Sch                        | waab<br>N NUMBER         |                   |  |  |  |  |